

The Standard®

The Standard Life Insurance Company of New York 833.786.5638 Tel 866.752.4037 Fax PO Box 4160 Portland OR 97208

State University of New York **Applying For Paid Family Leave**

Send forms and documents

SNY **19372**

To Use Paid Family Leave To:
Bond with a newborn, a newly adopted or fostered child
Complete Form PFL-1 □ Complete PFL-1, Part A □ Provide PFL-1 to employer □ Employer completes PFL-1, Part B and returns to you within 3 days
Complete Form PFL-2 ☐ Complete PFL-2 and collect required documentation
Send forms and documents ☐ Send completed forms and required documentation to The Standard ☐ The Standard accepts or denies claim within 18 days
Care for a family member with a serious health condition
Complete Form PFL-1 Complete PFL-1, Part A
☐ Provide PFL-1 to employer ☐ Employer completes PFL-1, Part B and returns to you within 3 days
± '

Assist family members due to another family member's active military duty or impending active duty abroad

 \square Send completed forms and required documentation to The Standard

 \square The Standard accepts or denies claim within 18 days

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State University of New York Request For Paid Family Leave (Form PFL-1) Instructions

- To request PFL, the employee requesting PFL must complete Part A of the Request For Paid Family Leave (Form PFL-1). All items on the form are required unless noted as optional. The employee then provides the form to the employer to complete Part B.
- The employer completes Part B of the Request For Paid Family Leave (Form PFL-1) and returns it to the employee within three days.
- Additional forms are required depending on the type of leave being requested. The employee requesting leave is responsible for the completion of these forms.
- The employee submits the completed Request For Paid Family Leave (Form PFL-1) with the required additional form to The Standard listed on Part B of Request For Paid Family Leave (Form PFL-1). The employee should retain a copy of each submitted form for their records.

PART A - EMPLOYEE INFORMATION (to be completed by the employee)

The employee requesting PFL must complete all required information.

Paid Family Leave (PFL) Request (to be completed by the employee)

Question 12: A child is defined as a biological, adopted, or foster son or daughter, a stepson or stepdaughter, a legal ward, a son or daughter of a domestic partner, or the person to whom the employee stands in loco parentis. A parent is defined as a biological, foster, or adoptive parent, parent-in-law, a stepparent, a legal guardian, or other person who stood in loco parentis to the employee when the employee was a child.

Question 13: If dates are "Continuous", the employee must provide the start and end dates of the requested PFL. These dates should be the actual dates that the PFL will begin and end. If uncertain, estimate the start and end dates and indicate "Dates are estimated". If dates are "Periodic", enter the dates PFL will be taken. Please be as specific as possible. If the dates are unknown or estimated, indicate "Dates are estimated".

If dates are estimated, The Standard will require you to submit a request for payment after the PFL day is taken. Payment for approved claims will be due as soon as possible but in no event more than 18 days from the date of the completed request.

Question 14: If the employee is submitting the PFL request to their employer with less than 30 days' advance notice from the start date of the PFL, the employee must explain why 30 days' notice could not be given. If the explanation will not fit in the space provided on the form, enter "See Attached" and add an attachment with the explanation. Be sure to include the employee's full legal name and their date of birth at the top of the attachment.

Employment Information (to be completed by the employee)

Question 16: Enter the date of hire to the best of the employee's recollection. If it has been more than a year since the date of hire, entering the year in which employment started is sufficient.

Question 18: Enter the best estimate of average gross weekly wage. Include only the wages earned from the employer listed on this request form. **The gross weekly wage is the total weekly pay - including overtime, tips, bonuses and commissions - before any deductions are made by the employer, such as federal and state taxes. If the employer is not able to supply this information, the employee can calculate their gross weekly wage as follows:**

- Step 1: Add all gross wages received (<u>before</u> any deductions) over the last eight weeks prior to the start of PFL, including overtime and tips earned. (See Step 3 for instructions for calculating bonuses and/or commissions.)
- **Step 2:** Divide the gross wages calculated in step one by eight (or the number of weeks worked if less than eight) to calculate the average weekly wage.
- **Step 3:** If the employee received bonuses and/or commissions during the 52 weeks preceding PFL, add the prorated weekly amount to the average weekly wage. To determine the prorated weekly amount, add all bonuses/commissions earned in the preceding 52 weeks and then divide by 52.

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PART A - EMPLOYEE INFORMATION (to be completed by the employee)

Please note that the employer is also required to provide this information in Part B of the Request For Paid Family Leave (Form PFL-1).

Example of a gross weekly wage calculation	:
Week 1 - Gross wage including overtime	\$550
Week 2 - Gross wage	\$500
Week 3 - Gross wage	\$500
Week 4 - Gross wage	\$500
Week 5 - Gross wage	\$500
Week 6 - Gross wage	\$500
Week 7 - Gross wage, including overtime	\$600
Week 8 - Gross wage, including overtime	<u>+ \$550</u>
Total =	\$4,200
Divide by 8	<u>÷ 8</u>
Average Weekly Wage =	\$525
Bonus earned in preceding 52 weeks	\$2,600
Divide by 52	<u>÷ 52</u>
Prorated Weekly Bonus =	\$50
Average Weekly Wage	\$525
Prorated Weekly Bonus	<u>+ \$50</u>
Average Weekly Wage (including bonus) =	\$575

If you are pre-submitting form: Indicate if the employee is pre-submitting their PFL request. Pre-submitting is defined as submitting the application in advance of an upcoming qualifying event, with certain required information missing due to the information being unknown at the time of the submitting. If pre-submitting is permitted by The Standard, the missing information must be supplied as soon as it is known. Benefits cannot be determined until all of the required information is provided.

The Standard will provide the employee a notice within five days which 1) states the claim is pending; 2) identifies what information is missing; 3) instructs how to submit the missing information. **Once all information is supplied, The Standard has 18 days to pay or deny the claim.**

If The Standard does not permit pre-submitting, The Standard must return the Request for Paid Family Leave within five days to the employee with an explanation that the claim should be re-submitted when all information is available.

Employee signs and dates, before giving this form to their employer to complete Part B.

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PART B - EMPLOYER INFORMATION (to be completed by the employer)

The employer of the employee requesting PFL must complete all information in Part B.

Question 2: If a Social Security Number is used for the Federal Employer Identification Number (FEIN), enter the Social Security Number.

Question 3: Enter the employer's Standard Industrial Classification (SIC) Code. Contact your carrier if you don't know your SIC code.

Question 8: The employee occupation code can be found at: https://www.bls.gov/soc/

Question 9: Enter the wages earned by the employee during the last eight weeks preceding the PFL start date. The gross amount paid is the employee's gross weekly pay, including any overtime and tips earned for that week, plus the weekly prorated amount of any bonus or commission received during the preceding 52 weeks. (For detailed steps, see chart on page 2 of the instructions.) Calculate the gross average weekly wage by adding up the gross amounts paid, and then divide by eight (or number of weeks worked if less than eight).

- **Step 1:** Add all gross wages received (<u>before</u> any deductions) over the last eight weeks prior to the start of PFL, including overtime and tips earned. (See Step 3 for instructions for calculating bonuses and/or commissions.)
- **Step 2:** Divide the gross wages calculated in step one by eight (or the number of weeks worked if less than eight) to calculate the average weekly wage.
- **Step 3:** If the employee received bonuses and/or commissions during the 52 weeks preceding PFL, add the prorated weekly amount to the average weekly wage. To determine the prorated weekly amount, add all bonuses/commissions earned in the preceding 52 weeks and then divide by 52.

Employer signs and dates, and then returns to the employee requesting PFL within three business days.

Be sure to complete the appropriate additional PFL form(s) based on the type of PFL leave being requested.

Notification Pursuant to the New York Personal Privacy Protection Law (Public Officers Law Article 6-A) and the Federal Privacy Act of 1974 (5 USC 552a).

The Workers' Compensation Board's (Board's) authority to request that employees provide personal information, including their social security number or tax identification number, is derived from the Board's administrative authority under Workers' Compensation Law section 142. This information is collected to assist the Board in investigating and administering claims in the most expedient manner possible and to help it maintain accurate records. Providing your social security number or tax identification number to the Board is voluntary. The Board will protect the confidentiality of all personal information in its possession, disclosing it only in furtherance of its official duties and in accordance with applicable state and federal law.

833.786.5638 Tel 866.752.4037 Fax PO Box 4160 Portland OR 97208 State University of New York Request For Paid Family Leave (Form PFL-1)

PART A - EMPLOYEE INFORMATION (to be completed by the employee)

1. Employee's legal name (first name, middle initial	2. Other last names, if a	any, unde	r which employ	ee has worked		
3. Employee's mailing address Street		City		State	Zip Code	Country (if not USA)
4. Employee's Social Security Number or TIN 5	6. Employee's primary telephone number					
7. Employee's preferred email address while on PF	L (if available	=)			oyee's gender e 🔲 Female	□х
9. Employee's preferred language	olski 🗆 C	hinese	Italiano 🗆 Haitian	☐ Kore	an 🗌 Othe	1
Optional (for research purposes)						
10. Employee's ethnicity/race For purposes of health demographic only. (U.S	S. Centers for	r Disease Co	ntrol and Prevention (CD	C) code s	et, version 1.0.)
Is employee of Hispanic, Latino/a, or Spanish (One or more categories may be selected.)	origin?		What is employee's r (One or more catego		pe selected.)	
☐ Mexican			American Indian	or Alaska	Native	
☐ Mexican American			☐ Black or African A	American		
☐ Chicano/a			Asian Indian			
☐ Puerto Rican			Chinese			
☐ Dominican			Filipino			
☐ Cuban			☐ Japanese			
Another Hispanic, Latino/a, or Spanish original	nin		☐ Korean			
			☐ Vietnamese			
☐ Not of Hispanic, Latino/a, or Spanish origir	1		Other Asian			
∐ Unknown			☐ White			
			☐ Native Hawaiian			
			Guamanian or Ch	amorro		
			☐ Samoan			
			Other Pacific Isla	nder		
			Other race			
PAID FAMILY LEAVE (PFL) REQUEST (to be completed by the employee)						
11. Reason for PFL request:	d 🗆 Ca	are for family	member	y qualifyir	ng event	
12. The family member is employee's: ☐ Child	d dparent	☐ Spouse ☐ Grandch	☐ Domestic partiild ☐ Sibling	ner [Parent	☐ Parent-in-law

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State University of New York Request For Paid Family Leave (Form PFL-1)

TO BE COMPLETED BY THE EMPLOYEE

Employee's legal name (first name, middle initial, last name)					
omple	ted by t	he emp	oloyee)		
/_ M/DD/YYY	<u>~</u>	☐ Date	s are estimated		
				Dates are estimated	
ase expla	iin:				
ployee)				
		16.	. Employee's date	of hire (MM/DD/YYYY)	
		State	Zip code	Country (if not U.S.A.)	
ed of both	employee	and emp	loyer)		
	_	_	ee have more thai	n one employer?	
		y receiving	g Workers' Comp	ensation Lost Wage Benefits?	
eived by	the empl	oyee, suc	ch as payments	received and types of leave,	
or conce ch is a cr	eals for th ime, and	ne purpos	e of misleading	, information concerning any	
			pensation Law.	My signature affirms that the	
		Date sig	ned (MM/DD/YY	YY)	
submitting	g). I unders	tand the i	nsurance carrier v	vill contact me to advise how to	
	ase explanate of concern such visual with the New Yes are the	ase explain: apployee) 20a. Doe 20a. Doe Yes s employee currentl Yes No eived by the empl rance company or conceals for the his a crime, and an such violation. der the NYS Workey knowledge and	pompleted by the employee place of both employee and employee and employee and employee currently receiving Yes No eived by the employee, such is a crime, and shall also in such violation. Date sig	ase explain: State	

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State University of New York Request For Paid Family Leave (Form PFL-1)

Employee's date of birth (MM/DD/YYYY)

TO	RF	COMPL	FTFD	BY THE	EMPI	OYFF

Employee's legal name (first name, middle initial, last name)

PA	RT B - I	EMPLOYER INFO	RMAT	ION (to be com	pleted	by the	employ	er)	
		ull legal name and mailing a	address		Agency co				Agency code 28
Car	mpus Name	9			Mailing address				
City	City					State Zip code			Country (if not U.S.A.)
2. Employer's FEIN 14-6013200					Employee ID# N				
	mployer's : 3 221	Standard Industrial Classific	cation (SIC	C) Code	Employer's contact name for questions related to PFL				
5. E	mployer's (contact telephone number	6. Emplo	oyer's contact email add	lress			7. Emplo	yee's date of hire (MM/DD/YYYY)
8. E	Employee's	occupation – Codes are av	ailable at:	https://www.bls.gov/sc	c/home.	htm		I	
9. E	Enter the las	st 8 weeks of gross wages f	or the em	nployee and calculate th	e averag	e gross we	ekly wage		
	Week no.	Week ending date (MM/DI	D/YYYY)	Number of days wo	rked	Gro	ss amount	paid	Check Days Normally Worked
	1								☐ Monday
	2								
	3								☐ Wednesday
	4								☐ Thursday
									Friday
	5								Saturday
	6								Sunday
	7								_
	8								
С	alculated a	verage gross <u>weekly</u> wage:							
		ployees are paid bi-weekly, od #1 an employee receives						veekly gros	s paid amount
	lease note [.] ny question		oyees you	u will need to report the	full comp	ensation w	eekly earni	ngs, pleas	e connect with SUNY if you have
9a.	9a. Through what date will the employee receive full wages?								
9b.	Through	what day will the employee'	s work ob	oligations extend?					
9c.	Will you b	oe using Paid Parental Leav	e? I f so, p	please provide the dates	:		_		

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State University of New York Request For Paid Family Leave (Form PFL-1)

TO	RF	COMPL	FTFD	BY THE	EMPI	OYFF

Employee's legal name (first name, middle initial, last name)	Employee's	Employee's date of birth (MM/DD/YYYY)			
PART B - EMPLOYER INFORMATION (to)	be completed by	the employer)			
10. Is the employee taking Family Medical Leave Act (FMLA) cor	ncurrently with PFL?	Yes □ No			
	nsurance carrier's name Standard Life Insuran	ce Company of Ne	w York		
Mailing address PO Box 4160					
City Portland	State OR	Zip code 97208	Country (if not U.S.A.)		
12. PFL insurance carrier's telephone number 13. PFL policy in (800) 368-2859 430237			cy number		
Declaration and signature	·				
$\ \square$ I affirm that this employee meets the PFL eligibility	y requirements for un	classified professi	onal employees.		
\square I affirm that this employee meets the PFL eligibility	y requirements for un	classified academi	ic employees.		
Any person who knowingly and with intent to defraud any statement of claim containing any materially false information fact material thereto, commits a fraudulent insurance act, five thousand dollars and the stated value of the claim for	ation, or conceals for the which is a crime, and	ne purpose of mislea	ding, information concerning any		
I am the person authorized to sign as the employer of the knowledge and belief, the information I have provided is		PFL. My signature	affirms that to the best of my		
Employer's authorized signature		Date signed (MM/DD	/YYYY)		
Title					

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If an employee is requesting PFL because of a family member's covered active military duty or impending covered active duty, the employee must submit the *Military Qualifying Event (Form PFL-5)* with the *Request For Paid Family Leave (Form PFL-1)*.

The employee must identify the family member, provide a copy of the member's covered active duty orders or impending active duty orders, and describe the reason leave is being requested.

MILITARY QUALIFYING EVENT (to be completed by the employee)

The employee requesting PFL must complete all applicable requested information.

Employee enters their legal name, date of birth, other last names, if any, under which they have worked, Social Security or Taxpayer Identification Number (TIN) number, and mailing address at the top of page 1.

Employee enters their legal name and date of birth at the top of page 2.

Questions 1-5: Enter the military member's information, and indicate the military member's relationship to the employee.

Question 5: A child is defined as a biological, adopted, or foster son or daughter, a stepson or stepdaughter, a legal ward, a son or daughter of a domestic partner, or the person to whom the employee stands in loco parentis. A parent is defined as a biological, foster, or adoptive parent, parent-in-law, a stepparent, a legal guardian, or other person who stood in loco parentis to the employee when the employee was a child.

Question 6: Enter dates of expected military covered active duty.

Question 7: Documentation that shows that the military member is on covered active duty or has been notified of an impending call or order to covered active duty is required and must be attached to this form. Select the type of documentation that is attached from the list below.

Required documentation includes one of the following:

- · Covered active duty orders; OR
- · Letter from the military unit documenting impending call or order to covered duty; OR
- Documentation of military leave signed by the approving authority for military member's Rest and Recuperation.

Qualifying Reason for Leave (to be completed by the employee)

Question 8: Explain the need for PFL because of the Military Qualifying Event. For example: "My spouse was just called on short notice to covered active duty status, and will be deployed to (country) in five days. I need to take PFL to be with them and make arrangements for while they are away on active duty." If the explanation will not fit in the space provided on the form, enter "See Attached" and add an attachment with the explanation. Be sure to include the employee's full name, date of birth, other last names, if any, under which they have worked, Social Security or Taxpayer Identification Number (TIN) number, and mailing address at the top of the attachment.

Question 9: Include one or more of the required documents:

- Meeting announcement for informational briefing sponsored by the military; or
- Document(s) confirming an appointment with a school official, doctor, attorney or financial advisor; or
- Copy of a bill for services for the handling of legal or financial affairs.

Notification Pursuant to the New York Personal Privacy Protection Law (Public Officers Law Article 6-A) and the Federal Privacy Act of 1974 (5 USC 552a).

The Workers' Compensation Board's (Board's) authority to request that employees provide personal information, including their social security number or tax identification number, is derived from the Board's administrative authority under Workers' Compensation Law section 142. This information is collected to assist the Board in investigating and administering claims in the most expedient manner possible and to help it maintain accurate records. Providing your social security number or tax identification number to the Board is voluntary. The Board will protect the confidentiality of all personal information in its possession, disclosing it only in furtherance of its official duties and in accordance with applicable state and federal law.

State University of New York Military Qualifying Event

The Standard Life Insurance Company of New York

TO BE COMPLETED BY THE EMPLOYEE

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Employee's legal name (first name, middle initial, last name)	Employee's date of birth (MM/DD/YYYY)			
Other last names, if any, under which employee has worked	Employee's Social Security Number or TIN			
Employee's mailing address				
City	State	Zip Code	Country (if not U.S.A.)	
MILITARY QUALIFYING EVENT (to be comple	eted by the em	ployee)		
Name of military member on covered active duty or impending call to initial, last name)	o covered active duty	y status (internation	nal deployment) (first name, middle	
2. Military member's date of birth (MM/DD/YYYY)	er's gender Female			
4. Military member's mailing address				
City	State	Zip Code	Country (if not U.S.A.)	
☐ Spouse ☐ Domestic partner ☐ Child ☐ Parent	,	to	tive duty (MM/DD/YYYY)	
7. Please select one of the following and attach the indicated document call or order to covered active duty status:		nilitary member is o	n covered active duty or impending	
Covered active duty orders Letter of impending call or order t	to covered duty		military leave signed by the approving ary member's Rest and Recuperation	
Qualifying Reason For Leave (to be completed by the				
8. What is the reason employee is requesting PFL? (One or more reason	-			
	r's representative bef	ore a federal, state,	or local agency for purpose of	
☐ Arranging for parental care obtaining, arranging, or a				
☐ Counseling ☐ Attending any event spon	sored by the military	or military service o	rganizations	
☐ Making financial arrangements ☐ Other				
☐ Making legal arrangements				

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State University of New York Military Qualifying Event (Form PFL-5)

TO BE COMPLETED BY THE EMPLOYEE					
Employee's legal name (first name, middle initial, last name)	Employee's date of birth (MM/DD/YYYY)				
MILITARY QUALIFYING EVENT (to be completed	by the employee)				
9. Written documentation supporting this request for leave is available and	attached?				
☐ Yes ☐ No ☐ None Available					
Note: A complete and sufficient certification to support a request for PFL leave due to a qualifying event includes any available written documentation which supports the need for leave; such documentation may include a copy of a meeting announcement for informational briefings sponsored by the military; a document confirming the military member's Rest and Recuperation leave; a document confirming an appointment with a third party, such as a counselor or school official, or staff at a care facility; or a copy of a bill for services for the handling of legal or financial affairs. If leave is requested to meet with a third party, the employee must provide the supporting documentation of the meeting that includes the name, address, appropriate contact information of the individual or entity with whom you are meeting (i.e., either telephone number, fax number, or email address of the individual or entity).					
Declaration and signature					
Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance or statement of claim containing any materially false information, or conceals for the purpose of misleading, information concerning any fact material thereto, commits a fraudulent insurance act, which is a crime, and shall also be subject to a civil penalty not to exceed five thousand dollars and the stated value of the claim for each such violation.					
I am hereby making a request for paid family leave benefits under the NYS Workers' Compensation Law. My signature affirms that the information I am providing is true and accurate to the best of my knowledge and belief.					
Employee's signature	Date signed (MM/DD/YYYY)				

State University of New York **Military Qualifying Event** (Form PFL-5)

The Standard Life Insurance Company of New York

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Employee's legal name (first name, middle initial, last name)			Employee's date of birth (MM/DD/YYYY)	
Other last names, if any, under which employee has worked			Employee's Social Security Number or TIN	
Employee's mailing address				
City		State	Zip Code	Country (if not U.S.A.)
QUALIFYING REASON FOR LEAVE	E - DOCUME	NTATION		
If leave is requested to meet with a third party, the name, address, and appropriate contact info telephone number, fax number or email address child or parental care, counseling, making finance a federal, state or local agency for purposes of o sponsored by the military or military service organical states.	rmation of the ind of the individual ial or legal arrand btaining, arrangii	dividual or entity or entity). The re gements, acting	with whom yo eason for a mee as the military	u are meeting (i.e., either the eting can include: arranging for member's representative before
Please submit this documentation for each re	equired meeting	g/event.		
Name of individual with whom employee is meeting			Title	
Organization	Telephone number	r (provide area or c	country code) Fa	x number (provide area or country code)
Email address				
Mailing address				
City		State	Zip Code	Country (if not U.S.A.)
Describe nature of meeting. Include dates, if known:				